High-throughput sequencing: Alignment and related topic

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HTS Platforms

- Established platforms
  - Illumina HiSeq, ABI SOLiD, Roche 454

- Newcomers:
  - Benchtop machines: Illumina MiSeq, etc
  - Long reads: Pacific Bioscience SMRT
1. PREPARE GENOMIC DNA SAMPLE
Randomly fragment genomic DNA and ligate adapters to both ends of the fragments.

4. FRAGMENTS BECOME DOUBLE STRANDED
The enzyme incorporates nucleotides to build double-stranded bridges on the solid-phase substrate.

2. ATTACH DNA TO SURFACE
Bind single-stranded fragments randomly to the inside surface of the flow cell channels.

5. DENATURE THE DOUBLE-STRANDED MOLECULES
Denaturation leaves single-stranded templates anchored to the substrate.

3. BRIDGE AMPLIFICATION
Add unlabeled nucleotides and enzyme to initiate solid-phase bridge amplification.

6. COMPLETE AMPLIFICATION
Several million dense clusters of double-stranded DNA are generated in each channel of the flow cell.
Bridge PCR

Illumina/Solexa
Solid-phase amplification
One DNA molecule per cluster

Sample preparation
DNA (5 µg)

Template
dNTPs and polymerase

Bridge amplification

100–200 million molecular clusters

Cluster growth

ML Metzker, Nat Rev Genetics (2010)
7. **Determine First Base**

First chemistry cycle: to initiate the first sequencing cycle, add all four labeled reversible terminators, primers, and DNA polymerase enzyme to the flow cell.

8. **Image First Base**

After laser excitation, capture the image of emitted fluorescence from each cluster on the flow cell. Record the identity of the first base for each cluster.

9. **Determine Second Base**

Second chemistry cycle: to initiate the next sequencing cycle, add all four labeled reversible terminators and enzyme to the flow cell.

10. **Image Second Chemistry Cycle**

After laser excitation, collect the image data as before. Record the identity of the second base for each cluster.

11. **Sequence Reads Over Multiple Chemistry Cycles**

Repeat cycles of sequencing to determine the sequence of bases in a given fragment, one base at a time.

12. **Align Data**

Align data, compare to a reference, and identify sequence differences.
Applications of HTS

- Sequencing of (genomic) DNA
  - de-novo sequencing
  - resequencing (variant finding)
  - enrichment sequencing (ChIP-Seq, MeDIP-Seq, ...)
  - targeted sequencing (exome sequencing, ...)
  - CCC-like (4C, HiC)
- Metagenomics
- Barcodes (tagged cells, yeast deletion collection, ...)
- Sequencing of RNA (actually: cDNA)
Applications of HTS

- Sequencing of (genomic) DNA
- Sequencing of RNA (actually: cDNA)
  - whole transcriptome*: RNA-Seq, Tag-Seq, ...
  - enriched fraction: HITS-CLIP, ...
  - labeled material: DTA, ...

* or: polyadenylated fraction
HTS: Bioinformatics challenges

Solutions specific to HTS are required for

• assembly
• alignment
• statistical tests (counting statistics)
• visualization
• segmentation
• ...
Two types of experiments

- **Discovery experiments**
  - finding all possible variant
  - getting an inventory of all transcripts
  - finding all binding sites of a transcription factor

- **Comparative experiments**
  - comparing tumour and normal samples
  - finding expression changes due to a treatment
  - finding changes in binding affinity
Assembly and Alignment

• First step in most analyses is the \textit{alignment} of reads to a genome

• Except the point is to get the genome: \textit{de-novo assembly}

• Special cases: Transcriptome assembly, metagenomics
The data funnel: ChIP-Seq, non-comparative

- Images
- Base calls
- Alignments
- Enrichment scores
- Location and scores of peaks (or of enriched regions)
- Summary statistics
- Biological conclusions
The data funnel: Comparative RNA-Seq

- Images
- Base calls
- Alignments
- Expression strengths of genes
- Differences between these
- Gene-set enrichment analyses
Where does Bioconductor come in?

- Processing of the images and determining of the read sequences
  - typically done by core facility with software from the manufacturer of the sequencing machine
- Aligning the reads to a reference genome (or assembling the reads into a new genome)
  - Done with community-developed stand-alone tools.
- Downstream statistical analysis.
  - Write your own scripts with the help of Bioconductor infrastructure.
Alignment
Alignment

• Many different aligners:
  Eland, Maq, Bowtie, BWA, SOAP, SSAHA, TopHat, SpliceMap, GSNAP, Novoalign, ...

• Main differences:
  • Publication year, maturity, development after publication, popularity
  • usage of base-call qualities, calculation of mapping qualities
  • Burrows-Wheeler index or not
  • speed-vs-sensitivity trade-off
  • suitability for RNA-Seq (“spliced alignment”)
  • suitability for special tasks (e.g., color-space reads, bisulfite reads, variant injection, local re-alignment, ...)
Alignment: Workflow

• Preparation: Generate an index from FASTA file with the genome.

• Input data: FASTQ files with raw reads (demultiplexed)

• Alignment

• Output file: SAM file with alignments
Raw reads: FASTQ format

“FASTA with Qualities”

Example:

@HWI-EAS225:3:1:2:854#0/1
GGGGAAGATCTCGGAAAATAGATCCGTAACTTCGGG
+HWI-EAS225:3:1:2:854#0/1
a`abbbabaababb `a[aaa`_N]b^ab`\`a

@HWI-EAS225:3:1:2:1595#0/1
GGGAAGATCTCGGAAAATAGATCCGTAACTTCGGG
+HWI-EAS225:3:1:2:1595#0/1
a`abbababbabbabbabbabbabbbaaababab\aa_`
FASTQ format

Each read is represented by four lines:

- '@', followed by read ID
- sequence
- '+', optionally followed by repeated read ID
- quality string:
  - same length as sequence
  - each character encodes the base-call quality of one base
FASTQ format: quality string

• If \( p \) is the probability that the base call is wrong, the Phred score is:

\[
Q = -10 \log_{10} p
\]

• The score is written with the character whose ASCII code is \( Q+33 \) (Sanger Institute standard).

• Before SolexaPipeline version 1.8, Solexa used instead the character with ASCII code \( Q+64 \).

• Before SolexaPipeline version 1.3, Solexa also used a different formula, namely \( Q = -10 \log_{10} (p/(1-p)) \).
# FASTQ: Phred base-call qualities

<table>
<thead>
<tr>
<th>quality score $Q_{\text{phred}}$</th>
<th>error prob. $p$</th>
<th>characters</th>
</tr>
</thead>
<tbody>
<tr>
<td>0 .. 9</td>
<td>1 .. 0.13</td>
<td>!&quot;#$%&amp;'()*</td>
</tr>
<tr>
<td>10 .. 19</td>
<td>0.1 .. 0.013</td>
<td>+,-,/01234</td>
</tr>
<tr>
<td>20 .. 29</td>
<td>0.01 .. 0.0013</td>
<td>56789:;&lt;=&gt;</td>
</tr>
<tr>
<td>30 .. 39</td>
<td>0.001 .. 0.00013</td>
<td>?@ABCDEFGHIJ</td>
</tr>
<tr>
<td>40</td>
<td>0.0001</td>
<td>I</td>
</tr>
</tbody>
</table>
# Quality scales

<table>
<thead>
<tr>
<th>Quality Code</th>
<th>Description</th>
<th>Range</th>
</tr>
</thead>
<tbody>
<tr>
<td>S</td>
<td>Sanger</td>
<td>Phred+33, raw reads typically (0, 40)</td>
</tr>
<tr>
<td>X</td>
<td>Solexa</td>
<td>Solexa+64, raw reads typically (-5, 40)</td>
</tr>
<tr>
<td>I</td>
<td>Illumina 1.3+</td>
<td>Phred+64, raw reads typically (0, 40)</td>
</tr>
<tr>
<td>J</td>
<td>Illumina 1.5+</td>
<td>Phred+64, raw reads typically (3, 40)</td>
</tr>
<tr>
<td></td>
<td></td>
<td>with 0=unused, 1=unused, 2=Read Segment Quality Control Indicator (bold)</td>
</tr>
<tr>
<td>L</td>
<td>Illumina 1.8+</td>
<td>Phred+33, raw reads typically (0, 41)</td>
</tr>
</tbody>
</table>

[Wikipedia article “FASTQ format”]
Convention for paired-end runs:

The reads are reported two FASTQ files, such that the $n^{\text{th}}$ read in the first file is mate-paired to the $n^{\text{th}}$ read in the second file. The read IDs must match.
Alignment output: SAM files

A SAM file consists of two parts:

- **Header**
  - contains meta data (source of the reads, reference genome, aligner, etc.)
  - Most current tools omit and/or ignore the header.
  - All header lines start with “@”.
  - Header fields have standardized two-letter codes for easy parsing of the information

- **Alignment section**
  - A tab-separated table with at least 11 columns
  - Each line describes one alignment
A SAM file

[...]

HWI-EAS225_309MTAAXX:5:1:689:1485 0 XIII 863564 25 36M * 0 0
GAAATATATACGTTTTTATCTATGTACGTTATATA CCCCCCCCCCCCCCCCCCCCCCCCCCCCC4CCCB4CA?AAA< NM:i:0 X0:i:1 MD:Z:36

HWI-EAS225_309MTAAXX:5:1:689:1485 16 XIII 863766 25 36M * 0 0
CTACAATTTTGCACATCAAAAAAGACCTCAACTAC =8A=AA784A9AA5AAAAA<AAAA<AAAA<AAAAAA NM:i:0 X0:i:1 MD:Z:36

HWI-EAS225_309MTAAXX:5:1:394:1171 0 XII 525532 25 36M * 0 0
GTTTACGGCGTTGCAAGAGCCCTACACGGGCTCATT CCCCCCCCCCCCCCCCCCCCCCCCC?CCACCACA7<?? NM:i:0 X0:i:1 MD:Z:36

HWI-EAS225_309MTAAXX:5:1:394:1171 16 XII 525689 25 36M * 0 0
GCTGTTATTTCTCCACAGTCTGGCAAAAAAAAGAAA 7AAAAAA?AA<AA?AAAAA5AAA<AAAAA<AAAAAA NM:i:0 X0:i:1 MD:Z:36

HWI-EAS225_309MTAAXX:5:1:393:671 0 XV 440012 25 36M * 0 0
TTTGGTGATTTTCCCGTCTTTATAATCTCGGATAAA AAAAAAAAAAAAA<AAAAAAA<AAAA5<AAAA3 NM:i:0 X0:i:1 MD:Z:36

HWI-EAS225_309MTAAXX:5:1:393:671 16 XV 440188 25 36M * 0 0

[...]
SAM format: Alignment section

The columns are:

- QNAME: ID of the read (“query”)
- FLAG: alignment flags
- RNAME: ID of the reference (typically: chromosome name)
- POS: Position in reference (1-based, left side)
- MAPQ: Mapping quality (as Phred score)
- CIGAR: Alignment description (gaps etc.) in CIGAR format
- MRNM: Mate reference sequence name [for paired end data]
- MPOS: Mate position [for paired end data]
- ISIZE: inferred insert size [for paired end data]
- SEQ: sequence of the read
- QUAL: quality string of the read
- extra fields
Reads and fragments

'+' Strand

'-' Strand

Position

Width
### SAM format: Flag field

**FLAG field: A number, to be read in binary**

<table>
<thead>
<tr>
<th>bit</th>
<th>hex</th>
<th>decimal</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>0</td>
<td>00 01</td>
<td>1</td>
<td>read is a paired-end read</td>
</tr>
<tr>
<td>1</td>
<td>00 02</td>
<td>2</td>
<td>read pair is properly matched</td>
</tr>
<tr>
<td>2</td>
<td>00 04</td>
<td>4</td>
<td>read has not been mapped</td>
</tr>
<tr>
<td>3</td>
<td>00 08</td>
<td>8</td>
<td>mate has not been mapped</td>
</tr>
<tr>
<td>4</td>
<td>00 10</td>
<td>16</td>
<td>read has been mapped to “-” strand</td>
</tr>
<tr>
<td>5</td>
<td>00 20</td>
<td>32</td>
<td>mate has been mapped to “-” strand</td>
</tr>
<tr>
<td>6</td>
<td>00 40</td>
<td>64</td>
<td>read is the first read in a pair</td>
</tr>
<tr>
<td>7</td>
<td>00 80</td>
<td>128</td>
<td>read is the second read in a pair</td>
</tr>
<tr>
<td>8</td>
<td>01 00</td>
<td>256</td>
<td>alignment is secondary</td>
</tr>
<tr>
<td>9</td>
<td>02 00</td>
<td>512</td>
<td>read did had not passed quality check</td>
</tr>
<tr>
<td>10</td>
<td>04 00</td>
<td>1024</td>
<td>read is a PCR or optical duplicate</td>
</tr>
</tbody>
</table>
SAM format: Optional fields

last column

- Always triples of the format TAG : VTYPE : VALUE
- some important tag types:
  - NH: number of reported alignments
  - NM: number of mismatches
  - MD: positions of mismatches
SAM format: CIGAR strings

Alignments contain gaps (e.g., in case of an indel, or, in RNA-Seq, when a read straddles an intron).

Then, the CIGAR string gives details.

Example: “M10 I4 M4 D3 M12” means

1. the first 10 bases of the read map (“M10”) normally (not necessarily perfectly)
2. then, 4 bases are inserted (“I4”), i.e., missing in the reference
3. then, after another 4 mapped bases (“M4”), 3 bases are deleted (“D4”), i.e., skipped in the query.
4. Finally, the last 12 bases match normally.

There are further codes (N, S, H, P), which are rarely used.
SAM format: paired-end and multiple alignments

- Each line represents one *alignments*.
- Multiple alternative alignments for the same read take multiple lines. Only the read ID allows to group them.
- Paired-end alignments take two lines.
- All these reads are not necessarily in adjacent lines.
sorted SAM/BAM files

- Text SAM files (.sam): standard form
- BAM files (.bam): binary representation of SAM
  - more compact, faster to process, random access and indexing possible
- BAM index files (.bai) allow random access in a BAM file that is sorted by position.
SAMtools

• The SAMtools are a set of simple tools to
  • convert between SAM and BAM
  • sort and merge SAM files
  • index SAM and FASTA files for fast access
  • calculate tallies ("flagstat")
  • view alignments ("tview")
  • produce a "pile-up", i.e., a file showing
    – local coverage
    – mismatches and consensus calls
    – indels

• The SAMtools C API facilitates the development of new tools for processing SAM files.
Visualization of SAM files

Integrative Genomics Viewer (IGV): Robinson et al., Broad Institute
Special considerations for RNA-Seq
RNA alignment

- Only some aligners (e.g., TopHat, GSNAP, STAR) deal with spliced read.
- Use these for RNA-Seq data.

![Diagram showing gene A with reads]
Strand-specific protocols

• Standard RNA-Seq loses strand information.
• If you want to distinguish sense from anti-sense transcripts, you need a strand-specific one.

• Make sure you know whether the library you analyse is strand-specific.
Solexa standard protocol for RNA-Seq

mRNA fragmentation
First-strand cDNA synthesis
Second-strand cDNA synthesis
End repair, adaptor ligation and PCR amplification

Mortazavi et al. 2008, Nature Methods
Strand-specific RNA-Seq with random hexamer priming

Cloonan et al. 2008, Nature Methods
Coverage in RNA-Seq

• When sequencing genomic DNA, the coverage seems reasonably even.

• In RNA-Seq, this quite different