

Package ‘GENESIS’

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Type Package

Title GENetic ESTimation and Inference in Structured samples
(GENESIS): Statistical methods for analyzing genetic data from
samples with population structure and/or relatedness

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Description The GENESIS package provides methodology for estimating, inferring, and accounting for population and pedigree structure in genetic analyses. The current implementation provides functions to perform PC-AiR (Conomos et al., 2015, Gen Epi) and PC-Relate (Conomos et al., 2016, AJHG). PC-AiR performs a Principal Components Analysis on genome-wide SNP data for the detection of population structure in a sample that may contain known or cryptic relatedness. Unlike standard PCA, PC-AiR accounts for relatedness in the sample to provide accurate ancestry inference that is not confounded by family structure. PC-Relate uses ancestry representative principal components to adjust for population structure/ancestry and accurately estimate measures of recent genetic relatedness such as kinship coefficients, IBD sharing probabilities, and inbreeding coefficients. Additionally, functions are provided to perform efficient variance component estimation and mixed model association testing for both quantitative and binary phenotypes.

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URL <https://github.com/UW-GAC/GENESIS>

Depends

Imports Biobase, BiocGenerics, GWASTools, gdsfmt, GenomicRanges, IRanges, S4Vectors, SeqArray, SeqVarTools, SNPRelate, data.table, dplyr, foreach, graphics, grDevices, igraph, Matrix, methods, reshape2, stats, utils

Suggests CompQuadForm, poibin, survey, testthat, knitr, rmarkdown, GWASdata, ggplot2, GGally, RColorBrewer, TxDb.Hsapiens.UCSC.hg19.knownGene

VignetteBuilder knitr

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DimensionReduction, PrincipalComponent, GenomeWideAssociation,
QualityControl, BiocViews

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GENESIS-package	<i>GENetic Estimation and Inference in Structured samples (GENESIS): Statistical methods for analyzing genetic data from samples with pop- ulation structure and/or relatedness</i>
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Description

The GENESIS package provides methodology for estimating, inferring, and accounting for population and pedigree structure in genetic analyses. The current implementation performs PC-AiR (Conomos et al., 2015, Gen Epi) and PC-Relate (Conomos et al., 2016, AJHG). PC-AiR performs a Principal Components Analysis on genome-wide SNP data for the detection of population structure in a sample that may contain known or cryptic relatedness. Unlike standard PCA, PC-AiR accounts for relatedness in the sample to provide accurate ancestry inference that is not confounded by family structure. PC-Relate uses ancestry representative principal components to adjust for population structure/ancestry and accurately estimate measures of recent genetic relatedness such as kinship coefficients, IBD sharing probabilities, and inbreeding coefficients. Additionally, functions are provided to perform efficient variance component estimation and mixed model association testing for both quantitative and binary phenotypes.

Details

The PC-AiR analysis is performed using the `pcair` function, which takes genotype data and pairwise measures of kinship and ancestry divergence as input and returns PC-AiR PCs as the output. The function `pcairPartition` is called within `pcair` and uses the PC-AiR algorithm to partition the sample into an ancestry representative ‘unrelated subset’ and ‘related subset’. The function `plot.pcair` can be used to plot pairs of PCs from a class ‘pcair’ object returned by the function `pcair`. The function `kingToMatrix` can be used to convert output text files from the KING software (Manichaikul et al., 2010) into an R matrix of pairwise kinship coefficient estimates in a format that can be used by the functions `pcair` and `pcairPartition`. The PC-Relate analysis is performed using the `pcrelate` function, which takes genotype data and PCs from PC-AiR and returns estimates of kinship coefficients, IBD sharing probabilities, and inbreeding coefficients. There are two functions required to perform SNP genotype association testing with mixed models. First, `fitNullModel` is called to fit the null model (i.e. no SNP genotype term) including fixed effects covariates, such as PC-AiR PCs, and random effects specified by their covariance structures, such as a kinship matrix created from PC-Relate output using `pcrelateToMatrix`. The function `fitNullModel` uses AIREML to estimate variance components for the random effects, and the function `varCompCI` can be used to find confidence intervals on the estimates as well as the proportion of total variability they explain; this allows for heritability estimation. Second, `assocTestSingle` is called with the null model output and the genotype data to perform either Wald or score based association tests.

Author(s)

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References

Conomos M.P., Miller M., & Thornton T. (2015). Robust Inference of Population Structure for Ancestry Prediction and Correction of Stratification in the Presence of Relatedness. *Genetic Epidemiology*, 39(4), 276-293.

Conomos M.P., Reiner A.P., Weir B.S., & Thornton T.A. (2016). Model-free Estimation of Recent Genetic Relatedness. *American Journal of Human Genetics*, 98(1), 127-148.

Manichaikul, A., Mychaleckyj, J.C., Rich, S.S., Daly, K., Sale, M., & Chen, W.M. (2010). Robust relationship inference in genome-wide association studies. *Bioinformatics*, 26(22), 2867-2873.

admixMap

admixMap

Description

Run admixture analyses

Usage

```
admixMap(admixDataList, null.model, verbose=TRUE)
```

Arguments

admixDataList	named list of GenotypeIterator or SeqVarIterator objects for each ancestry
null.model	A null model object returned by fitNullModel .
verbose	Logical indicator of whether updates from the function should be printed to the console; the default is TRUE.

Details

admixDataList should have one value for each ancestry. See the example for how one might set up this object. List names will propagate to the output file.

Value

data frame with admixture mapping results

Author(s)

Matthew P. Conomos, Lisa Brown, Stephanie M. Gogarten, Tamar Sofer, Ken Rice, Chaoyu Yu

References

Brown, L.A. et al. (2017). Admixture Mapping Identifies an Amerindian Ancestry Locus Associated with Albuminuria in Hispanics in the United States. *J Am Soc Nephrol.* 28(7):2211-2220.

See Also

[GenotypeIterator](#), [fitNullModel](#), [assocTestSingle](#)

Examples

```
library(GWASTools)
library(gdsfmt)

# create file with multiple ancestries
gdsfile <- system.file("extdata", "HapMap_ASW_MXL_geno.gds", package="GENESIS")
tmpfile <- tempfile()
file.copy(gdsfile, tmpfile)
gds <- openfn.gds(tmpfile, readonly=FALSE)
nsnp <- objdesp.gdsn(index.gdsn(gds, "snp.id"))$dim
nsamp <- objdesp.gdsn(index.gdsn(gds, "sample.id"))$dim
samp <- read.gdsn(index.gdsn(gds, "sample.id"))
dosage_eur <- sample(0:2, nsnp*nsamp, replace=TRUE)
dosage_afr <- ifelse(dosage_eur == 2, 0, sample(0:1, nsnp*nsamp, replace=TRUE))
dosage_amer <- 2 - dosage_eur - dosage_afr
add.gdsn(gds, "dosage_eur", matrix(dosage_eur, nrow=nsamp, ncol=nsnp))
add.gdsn(gds, "dosage_afr", matrix(dosage_afr, nrow=nsamp, ncol=nsnp))
add.gdsn(gds, "dosage_amer", matrix(dosage_amer, nrow=nsamp, ncol=nsnp))
closefn.gds(gds)

# generate a phenotype
set.seed(4)
pheno <- rnorm(nsamp, mean = 0, sd = 1)
covar <- sample(0:1, nsamp, replace=TRUE)

# make ScanAnnotationDataFrame
```

```

scanAnnot <- ScanAnnotationDataFrame(data.frame(scanID = samp,
      covar, pheno, stringsAsFactors=FALSE))

# read in GDS data
gds <- openfn.gds(tmpfile)
genoDataList <- list()
for (anc in c("eur", "afr", "amer")){
  gdsr <- GdsGenotypeReader(gds, genotypeVar=paste0("dosage_", anc))
  genoDataList[[anc]] <- GenotypeData(gdsr, scanAnnot=scanAnnot)
}

# iterators
genoIterators <- lapply(genoDataList, GenotypeBlockIterator)

# fit the null mixed model
null.model <- fitNullModel(scanAnnot, outcome = "pheno", covars = "covar")

# run the association test
myassoc <- admixMap(genoIterators, null.model)

close(genoDataList[[1]])
unlink(tmpfile)

```

assocTestAggregate *Aggregate Association Testing*

Description

assocTestAggregate performs aggregate association tests using the null model fit with [fitNullModel](#).

Usage

```

## S4 method for signature 'SeqVarIterator'
assocTestAggregate(gdsobj, null.model, AF.max=1,
  weight.beta=c(1,1), weight.user=NULL,
  test=c("Burden", "SKAT", "SMMAT"),
  burden.test=c("Score", "Wald"), rho=0,
  pval.method=c("davies", "kuonen", "liu"),
  sparse=TRUE, imputed=FALSE, verbose=TRUE)

## S4 method for signature 'GenotypeIterator'
assocTestAggregate(gdsobj, null.model, AF.max=1,
  weight.beta=c(1,1), weight.user=NULL,
  test=c("Burden", "SKAT", "SMMAT"),
  burden.test=c("Score", "Wald"), rho=0,
  pval.method=c("davies", "kuonen", "liu"),
  verbose=TRUE)

```

Arguments

gdsobj	An object of class SeqVarIterator from the package SeqVarTools containing the genotype data for the variants and samples to be used for the analysis.
null.model	A null model object returned by fitNullModel .

AF.max	A numeric value specifying the upper bound on the alternate allele frequency for variants to be included in the analysis.
weight.beta	A numeric vector of length two specifying the two parameters of the Beta distribution used to determine variant weights; weights are given by $\text{dbeta}(\text{MAF}, a, b)$, where MAF is the minor allele frequency, and a and b are the two parameters specified here. <code>weight.beta = c(1, 25)</code> gives the Wu weights; <code>weight.beta = c(0.5, 0.5)</code> is proportional to the Madsen-Browning weights; and <code>weight.beta = c(1, 1)</code> gives a weight of 1 to all variants. This input is ignored when <code>weight.user</code> is not NULL.
weight.user	A character string specifying the name of a variable to be used as variant weights. This variable can be in either 1) the <code>variantData</code> slot of <code>gdsobj</code> or 2) the <code>mcols</code> of the <code>GRanges</code> or <code>GRangesList</code> object used to create <code>gdsobj</code> (when <code>gdsobj</code> is a <code>link{SeqVarRangeIterator}</code> or <code>link{SeqVarListIterator}</code>). When left NULL (the default), the weights specified by <code>weight.beta</code> will be used.
test	A character string specifying the type of test to be performed. The possibilities are "Burden" (default), "SKAT", or "SMMAT". When this is set to "SKAT" and the parameter <code>rho</code> has multiple values, a SKAT-O test is performed.
burden.test	A character string specifying the type of Burden test to perform when <code>test = "Burden"</code> . The possibilities are "Score" and "Wald". "Score" can be used for any <code>null.model</code> . "Wald" can not be used when the <code>null.model</code> is from a mixed model with a binary outcome variable.
rho	A numeric value (or vector of numeric values) in $[0, 1]$ specifying the rho parameter for SKAT. When <code>rho = 0</code> , a standard SKAT test is performed. When <code>rho = 1</code> , a score burden test is performed. When <code>rho</code> is a vector of values, SKAT-O is performed using each of those values as the search space for the optimal rho.
pval.method	A character string specifying which method to use to calculate SKAT p-values. "davies" (the default) uses numerical integration; "kuonen" uses a saddle-point method; and "liu" uses a moment matching approximation. If the davies method generates an error, kuonen is tried, and then liu as a last resort.
sparse	Logical indicator of whether to read genotypes as sparse Matrix objects; the default is TRUE. Set this to FALSE if the alternate allele dosage of the genotypes in the test are not expected to be mostly 0.
imputed	Logical indicator of whether to read dosages from the "DS" field containing imputed dosages instead of counting the number of alternate alleles.
verbose	Logical indicator of whether updates from the function should be printed to the console; the default is TRUE.

Details

The type of aggregate unit tested depends on the class of iterator used for `gdsobj`. Options include sliding windows, specific ranges of variants or selection of individual variants (ranges with width 1). See [SeqVarIterator](#) for more details.

The effect size estimate is for each copy of the alternate allele. For multiallelic variants, each alternate allele is tested separately.

Somewhat similarly to SKAT-O, the variant Set Mixed Model Association Test (SMMAT, Chen et al., manuscript in preparation) combines the burden test p-value with an adjusted SKAT (which is asymptotically independent of the burden test) p-value using a chi-square distribution with 4df from Fisher's method.

Value

A list with the following items:

`results` A data.frame containing the results from the main analysis. Each row is a separate aggregate test:

If `gdsobj` is a [SeqVarWindowIterator](#):

`chr` The chromosome value
`start` The start position of the window
`end` The end position of the window

Always:

`n.site` The number of variant sites included in the test.
`n.alt` The number of alternate alleles included in the test.
`n.sample.alt` The number of samples with an observed alternate allele at any variant in the aggregate set.

If test is "Burden":

If `burden.test` is "Score":

`Score` The value of the score function
`Score.SE` The estimated standard error of the Score
`Score.Stat` The score Z test statistic
`Score.pval` The score p-value

If `burden.test` is "Wald":

`Est` The effect size estimate for a one unit increase in the burden value
`Est.SE` The estimated standard error of the effect size estimate
`Wald.Stat` The Wald Z test statistic
`Wald.pval` The Wald p-value

If test is "SKAT":

`Q_rho` The SKAT test statistic for the value of rho specified. There will be as many of these variables as there are rho values chosen.
`pval_rho` The SKAT p-value for the value of rho specified. There will be as many of these variables as there are rho values chosen.
`err_rho` Takes value 1 if there was an error in calculating the p-value for the value of rho specified when using the "kuonen" or "davies" methods; 0 otherwise. When there is an error, the p-value returned is from the "liu" method. There will be as many of these variables as there are rho values chosen.

When `length(rho) > 1` and SKAT-O is performed:

`min.pval` The minimum p-value among the p-values calculated for each choice of rho.
`opt_rho` The optimal rho value; i.e. the rho value that gave the minimum p-value.
`pval_SKATO` The SKAT-O p-value after adjustment for searching across multiple rho values.

If test is "SMMAT":

pval_burden	The burden test p-value
pval_SMMAT	The SMMAT p-value
err	Takes value 1 if there was an error calculating the SMMAT p-value; 0 otherwise. If err=1, pval_SMMAT is set to pval_burden.
variantInfo	A list with as many elements as aggregate tests performed. Each element of the list is a data.frame providing information on the variants used in the aggregate test with results presented in the corresponding row of results. Each of these data.frames has the following information:
variant.id	The variant ID
chr	The chromosome value
pos	The base pair position
n.obs	The number of samples with non-missing genotypes
freq	The estimated alternate allele frequency
weight	The weight assigned to the variant in the analysis.

Author(s)

Matthew P. Conomos, Stephanie M. Gogarten, Tamar Sofer, Ken Rice, Chaoyu Yu, Han Chen

References

- Leal, S.M. & Li, B. (2008). Methods for Detecting Associations with Rare Variants for Common Diseases: Application to Analysis of Sequence Data. *American Journal of Human Genetics*, 83(3): 311-321.
- Browning, S.R. & Madsen, B.E. (2009). A Groupwise Association Test for Rare Mutations Using a Weighted Sum Statistic. *PLoS Genetics*, 5(2): e1000384.
- Wu, M.C, Lee, S., Cai, T., Li, Y., Boehnke, M., & Lin, X. (2011). Rare-Variant Association Testing for Sequencing Data with the Sequence Kernel Association Test. *American Journal of Human Genetics*, 89(1): 82-93.
- Lee, S. et al. (2012). Optimal Unified Approach for Rare-Variant Association Testing with Application to Small-Sample Case-Control Whole-Exome Sequencing Studies. *American Journal of Human Genetics*, 91(2): 224-237.

Examples

```
library(SeqVarTools)
library(Biobase)
library(GenomicRanges)

# open a sequencing GDS file
gdsfile <- seqExampleFileName("gds")
gds <- seqOpen(gdsfile)

# simulate some phenotype data
data(pedigree)
pedigree <- pedigree[match(seqGetData(gds, "sample.id"), pedigree$sample.id),]
pedigree$outcome <- rnorm(nrow(pedigree))

# construct a SeqVarData object
seqData <- SeqVarData(gds, sampleData=AnnotatedDataFrame(pedigree))
```



```

# fit the null model
nullmod <- fitNullModel(seqData, outcome="outcome", covars="sex")

# burden test - Range Iterator
gr <- GRanges(seqnames=rep(1,3), ranges=IRanges(start=c(1e6, 2e6, 3e6), width=1e6))
iterator <- SeqVarRangeIterator(seqData, variantRanges=gr)
assoc <- assocTestAggregate(iterator, nullmod, test="Burden")
assoc$results
lapply(assoc$variantInfo, head)

# SKAT test - Window Iterator
seqSetFilterChrom(seqData, include="22")
iterator <- SeqVarWindowIterator(seqData)
assoc <- assocTestAggregate(iterator, nullmod, test="SKAT")
head(assoc$results)
head(assoc$variantInfo)

# SKAT-O test - List Iterator
seqResetFilter(iterator)
gr <- GRangesList(
  GRanges(seqnames=rep(22,2), ranges=IRanges(start=c(16e6, 17e6), width=1e6)),
  GRanges(seqnames=rep(22,2), ranges=IRanges(start=c(18e6, 20e6), width=1e6)))
iterator <- SeqVarListIterator(seqData, variantRanges=gr)
assoc <- assocTestAggregate(iterator, nullmod, test="SKAT", rho=seq(0, 1, 0.25))
assoc$results
assoc$variantInfo

# user-specified weights - option 1
seqResetFilter(iterator)
variant.id <- seqGetData(gds, "variant.id")
weights <- data.frame(variant.id, weight=runif(length(variant.id)))
variantData(seqData) <- AnnotatedDataFrame(weights)
iterator <- SeqVarListIterator(seqData, variantRanges=gr)
assoc <- assocTestAggregate(iterator, nullmod, test="Burden", weight.user="weight")
assoc$results
assoc$variantInfo

# user-specified weights - option 2
seqResetFilter(iterator)
variantData(seqData)$weight <- NULL
gr <- GRangesList(
  GRanges(seqnames=rep(22,2), ranges=IRanges(start=c(16e6, 17e6), width=1e6), weight=runif(2)),
  GRanges(seqnames=rep(22,2), ranges=IRanges(start=c(18e6, 20e6), width=1e6), weight=runif(2)))
iterator <- SeqVarListIterator(seqData, variantRanges=gr)
assoc <- assocTestAggregate(iterator, nullmod, test="Burden", weight.user="weight")
assoc$results
assoc$variantInfo

seqClose(seqData)

```

assocTestSingle

Genotype Association Testing with Mixed Models

Description

assocTestSingle performs genotype association tests using the null model fit with [fitNullModel](#).

Usage

```
## S4 method for signature 'SeqVarIterator'
assocTestSingle(gdsobj, null.model, test=c("Score", "Wald"),
                GxE=NULL, sparse=TRUE, imputed=FALSE, verbose=TRUE)
## S4 method for signature 'GenotypeIterator'
assocTestSingle(gdsobj, null.model, test=c("Score", "Wald"),
                GxE=NULL, verbose=TRUE)
```

Arguments

gdsobj	An object of class SeqVarIterator from the package SeqVarTools , or an object of class GenotypeIterator from the package GWASTools , containing the genotype data for the variants and samples to be used for the analysis.
null.model	A null model object returned by fitNullModel .
test	A character string specifying the type of test to be performed. The possibilities are "Score" (default) or "Wald"; only "Score" can be used when the family of the null model fit with fitNullModel is not gaussian.
GxE	A vector of character strings specifying the names of the variables for which a genotype interaction term should be included. If NULL (default) no genotype interactions are included. See 'Details' for further information.
sparse	Logical indicator of whether to read genotypes as sparse Matrix objects; the default is TRUE. Set this to FALSE if the alternate allele dosage of the genotypes in the test are not expected to be mostly 0.
imputed	Logical indicator of whether to read dosages from the "DS" field containing imputed dosages instead of counting the number of alternate alleles.
verbose	Logical indicator of whether updates from the function should be printed to the console; the default is TRUE.

Details

Sporadic missing genotype values are mean imputed using the minor allele frequency (MAF) calculated on all other samples at that variant.

The input GxE can be used to perform GxE tests. Multiple interaction variables may be specified, but all interaction variables specified must have been included as covariates in fitting the null model with [fitNullModel](#). When performing GxE analyses, [assocTestSingle](#) will report two tests: (1) the joint test of all genotype interaction terms in the model (this is the test for any genotype interaction effect), and (2) the joint test of the genotype term along with all of the genotype interaction terms (this is the test for any genetic effect). Individual genotype interaction terms can be tested by creating Wald test statistics from the reported effect size estimates and their standard errors (Note: when GxE contains a single continuous or binary covariate, this test is the same as the test for any genotype interaction effect mentioned above).

Value

A data.frame where each row refers to a different variant with the columns:

variant.id	The variant ID
chr	The chromosome value
pos	The base pair position
allele.index	The index of the alternate allele. For biallelic variants, this will always be 1.

n.obs The number of samples with non-missing genotypes
 freq The estimated alternate allele frequency

If test is "Score":

Score The value of the score function
 Score.SE The estimated standard error of the Score
 Score.Stat The score Z test statistic
 Score.pval The score p-value

If test is "Wald" and GxE is NULL:

Est The effect size estimate for each additional copy of the alternate allele
 Est.SE The estimated standard error of the effect size estimate
 Wald.Stat The Wald Z test statistic
 Wald.pval The Wald p-value

If test is "Wald" and GxE is not NULL:

Est.G The effect size estimate for the genotype term
 Est.G:env The effect size estimate for the genotype*env interaction term. There will be as many of these terms as there are interaction variables, and "env" will be replaced with the variable name.
 SE.G The estimated standard error of the genotype term effect size estimate
 SE.G:env The estimated standard error of the genotype*env effect size estimate. There will be as many of these terms as there are interaction variables, and "env" will be replaced with the variable name.
 GxE.Stat The Wald Z test statistic for the test of all genotype interaction terms. When there is only one genotype interaction term, this is the test statistic for that term.
 GxE.pval The Wald p-value for the test of all genotype interaction terms; i.e. the test of any genotype interaction effect
 Joint.Stat The Wald Z test statistic for the joint test of the genotype term and all of the genotype interaction terms
 Joint.pval The Wald p-value for the joint test of the genotype term and all of the genotype interaction terms; i.e. the test of any genotype effect

The effect size estimate is for each copy of the alternate allele. For multiallelic variants, each alternate allele is tested separately.

Author(s)

Matthew P. Conomos, Stephanie M. Gogarten, Tamar Sofer, Ken Rice, Chaoyu Yu

See Also

[fitNullModel](#) for fitting the null mixed model needed as input to `assocTestSingle`. [SeqVarIterator](#) for creating the input object with genotypes.

Examples

```

library(SeqVarTools)
library(Biobase)

# open a sequencing GDS file
gdsfile <- seqExampleFileName("gds")
gds <- seqOpen(gdsfile)

# simulate some phenotype data
data(pedigree)
pedigree <- pedigree[match(seqGetData(gds, "sample.id"), pedigree$sample.id),]
pedigree$outcome <- rnorm(nrow(pedigree))

# construct a SeqVarIterator object
seqData <- SeqVarData(gds, sampleData=AnnotatedDataFrame(pedigree))
iterator <- SeqVarBlockIterator(seqData)

# fit the null model
nullmod <- fitNullModel(iterator, outcome="outcome", covars="sex")

# run the association test
assoc <- assocTestSingle(iterator, nullmod)

seqClose(iterator)

library(GWASTools)

# open a SNP-based GDS file
gdsfile <- system.file("extdata", "HapMap_ASW_MXL_geno.gds", package="GENESIS")
gds <- GdsGenotypeReader(filename = gdsfile)

# simulate some phenotype data
pheno <- data.frame(scanID=getScanID(gds),
                    outcome=rnorm(nscan(gds)))

# construct a GenotypeIterator object
genoData <- GenotypeData(gds, scanAnnot=ScanAnnotationDataFrame(pheno))
iterator <- GenotypeBlockIterator(genoData)

# fit the null model
nullmod <- fitNullModel(iterator, outcome="outcome")

# run the association test
assoc <- assocTestSingle(iterator, nullmod)

close(iterator)

```

fitNullModel

Fit a Model Under the Null Hypothesis

Description

fitNullModel fits a regression model or a mixed model with random effects specified by their covariance structures; this allows for the inclusion of a polygenic random effect using a kinship matrix

or genetic relationship matrix (GRM). The output of `fitNullModel` can be used to estimate genetic heritability and can be passed to `assocTestSingle` or `assocTestAggregate` for the purpose of genetic association testing.

`nullModelInvNorm` does an inverse normal transform of a previously fit null model.

Usage

```
## S4 method for signature 'data.frame'
fitNullModel(x, outcome, covars = NULL, cov.mat = NULL,
             group.var = NULL, family = "gaussian", start = NULL,
             AIREML.tol = 1e-6, max.iter = 100, drop.zeros = TRUE, verbose = TRUE)
## S4 method for signature 'AnnotatedDataFrame'
fitNullModel(x, outcome, covars = NULL, cov.mat = NULL,
             group.var = NULL, sample.id = NULL, ...)
## S4 method for signature 'SeqVarData'
fitNullModel(x, ...)
## S4 method for signature 'ScanAnnotationDataFrame'
fitNullModel(x, ...)
## S4 method for signature 'GenotypeData'
fitNullModel(x, ...)

nullModelInvNorm(null.model, cov.mat = NULL, norm.option = c("by.group", "all"),
                 rescale = c("none", "model", "residSD"),
                 AIREML.tol = 1e-6, max.iter = 100, verbose = TRUE)
```

Arguments

<code>x</code>	An object of class <code>data.frame</code> , <code>AnnotatedDataFrame</code> , or <code>SeqVarData</code> containing the outcome and covariate data for the samples to be used for the analysis.
<code>outcome</code>	A character string specifying the name of the outcome variable in <code>x</code> .
<code>covars</code>	A vector of character strings specifying the names of the fixed effect covariates in <code>x</code> ; an intercept term is automatically included. If <code>NULL</code> (default) the only fixed effect covariate is the intercept term.
<code>cov.mat</code>	A matrix or list of matrices specifying the covariance structures of the random effects terms. Objects from the <code>Matrix</code> package are supported. See 'Details' for more information.
<code>group.var</code>	This variable can only be used when <code>family = "gaussian"</code> . A character string specifying the name of a categorical variable in <code>x</code> that is used to fit heterogeneous residual error variances. If <code>NULL</code> (default), then a standard LMM with constant residual variance for all samples is fit. See 'Details' for more information.
<code>sample.id</code>	A vector of IDs for samples to include in the analysis. If <code>NULL</code> , all samples in <code>x</code> are included. This argument is ignored if <code>x</code> is a <code>data.frame</code> ; see 'Details'.
<code>family</code>	A description of the error distribution to be used in the model. The default <code>"gaussian"</code> fits a linear model; see <code>family</code> for further options, and see 'Details' for more information.
<code>start</code>	A vector of starting values for the variance component estimation procedure. The function will pick reasonable starting values when left <code>NULL</code> (default). See 'Details' for more information.
<code>AIREML.tol</code>	The convergence threshold for the Average Information REML (AIREML) procedure used to estimate the variance components of the random effects. See 'Details' for more information.

<code>max.iter</code>	The maximum number of iterations allowed in the AIREML procedure.
<code>drop.zeros</code>	Logical indicator of whether variance component terms that converge to 0 should be removed from the model; the default is TRUE. See 'Details' for more information.
<code>verbose</code>	Logical indicator of whether updates from the function should be printed to the console; the default is TRUE.
<code>...</code>	Arguments to pass to other methods.
<code>null.model</code>	The output of <code>fitNullModel</code> .
<code>norm.option</code>	Whether the normalization should be done separately within each value of <code>group.var</code> ("by.group") or with all samples together ("all").
<code>rescale</code>	Controls whether to rescale the variance for each group after inverse-normal transform, restoring it to the original variance before the transform. "none" for no rescaling of the residuals; "model" for model-based rescaling, and "residSD" to rescale to the standard deviation of the marginal residuals.

Details

If `x` is a `data.frame`, the rownames of `x` must match the row and column names of `cov.mat` (if `cov.mat` is specified). If `x` is an [AnnotatedDataFrame](#) or other object containing an [AnnotatedDataFrame](#), `x` will be re-ordered (if necessary) so that `sample.id` or `scanID` is in the same order as the row and column names of `cov.mat`.

`cov.mat` is used to specify the covariance structures of the random effects terms in the model. For example, to include a polygenic random effect, one matrix in `cov.mat` could be a kinship matrix or a genetic relationship matrix (GRM). As another example, to include household membership as a random effect, one matrix in `cov.mat` should be a 0/1 matrix with a 1 in the $[i, j]$ and $[j, i]$ entries if individuals i and j are in the same household and 0 otherwise; the diagonals of such a matrix should all be 1.

When `family` is not gaussian, the penalized quasi-likelihood (PQL) approximation to the generalized linear mixed model (GLMM) is fit following the procedure of GMMAT (Chen et al.).

For some outcomes, there may be evidence that different groups of observations have different residual variances, and the standard LMM assumption of homoscedasticity is violated. When `group.var` is specified, separate (heterogeneous) residual variance components are fit for each unique value of `group.var`.

Let m be the number of matrices in `cov.mat` and let g be the number of categories in the variable specified by `group.var`. The length of the `start` vector must be $(m + 1)$ when `family` is gaussian and `group.var` is NULL; $(m + g)$ when `family` is gaussian and `group.var` is specified; or m when `family` is not gaussian.

A Newton-Raphson iterative procedure with Average Information REML (AIREML) is used to estimate the variance components of the random effects. When the Euclidean distance between the new and previous variance component estimates is less than `AIREML.tol`, the algorithm declares convergence of the estimates. Sometimes a variance component may approach the boundary of the parameter space at 0; step-halving is used to prevent any component from becoming negative. However, when a variance component gets near the 0 boundary, the algorithm can sometimes get "stuck", preventing the other variance components from converging; if `drop.zeros` is TRUE, then variance components that converge to a value less than `AIREML.tol` will be dropped from the model and the estimation procedure will continue with the remaining variance components.

Value

An object of class `'GENESIS.nullModel'` or `'GENESIS.nullMixedModel'`. A list including:

family	A character string specifying the family used in the analysis.
hetResid	A logical indicator of whether heterogeneous residual variance components were used in the model (specified by <code>group.var</code>).
varComp	The variance component estimates. There is one variance component for each random effect specified in <code>cov.mat</code> . When <code>family</code> is gaussian, there are additional residual variance components; one residual variance component when <code>group.var</code> is NULL, and as many residual variance components as there are unique values of <code>group.var</code> when it is specified.
varCompCov	The estimated covariance matrix of the variance component estimates given by <code>varComp</code> . This can be used for hypothesis tests regarding the variance components.
fixef	A data.frame with effect size estimates (betas), standard errors, chi-squared test statistics, and p-values for each of the fixed effect covariates specified in <code>covars</code> .
betaCov	The estimated covariance matrix of the effect size estimates (betas) of the fixed effect covariates. This can be used for hypothesis tests regarding the fixed effects.
fitted.values	The fitted values from the model; i.e. $W*\beta$ where W is the design matrix and β are the effect size estimates for the fixed effects.
resid.marginal	The marginal residuals from the model; i.e. $Y - W*\beta$ where Y is the vector of outcome values.
resid.conditional	The conditional residuals from the model; i.e. $Y - W*\beta - Z*u$.
logLik	The log-likelihood value.
logLikR	The restricted log-likelihood value.
AIC	The Akaike Information Criterion value.
workingY	The "working" outcome vector. When <code>family</code> is gaussian, this is just the original outcome vector. When <code>family</code> is not gaussian, this is the PQL linearization of the outcome vector. This is used by assocTestSingle or assocTestAggregate for genetic association testing. See 'Details' for more information.
outcome	The original outcome vector, as a 1-column matrix with column name. When <code>family</code> is gaussian, this is equal to <code>workingY</code> .
model.matrix	The design matrix for the fixed effect covariates used in the model.
group.idx	If <code>group.var</code> is not NULL, a list of indices for samples in each group.
cholSigmaInv	The Cholesky decomposition of the inverse of the estimated outcome covariance structure. This is used by assocTestSingle or assocTestAggregate for genetic association testing.
converged	A logical indicator of whether the AIREML procedure for estimating the random effects variance components converged.
zeroFLAG	A vector of logicals the same length as <code>varComp</code> specifying whether the corresponding variance component estimate was set to 0 by the function due to convergence to the boundary in the AIREML procedure.
RSS	The residual sum of squares from the model fit. When <code>family</code> is gaussian, this will typically be 1 since the residual variance component is estimated separately.
sample.id	A vector of IDs for the samples used in the analysis.

Author(s)

Matthew P. Conomos, Stephanie M. Gogarten, Tamar Sofer, Ken Rice, Chaoyu Yu

References

Chen H, Wang C, Conomos MP, Stilp AM, Li Z, Sofer T, Szpiro AA, Chen W, Brehm JM, Cedeon JC, Redline S, Papanicolaou GJ, Thornton TA, Laurie CC, Rice K and Lin X. (2016) Control for Population Structure and Relatedness for Binary Traits in Genetic Association Studies Using Logistic Mixed Models. *American Journal of Human Genetics*, 98(4):653-66.

Breslow NE and Clayton DG. (1993). Approximate Inference in Generalized Linear Mixed Models. *Journal of the American Statistical Association* 88: 9-25.

Gilmour, A.R., Thompson, R., & Cullis, B.R. (1995). Average information REML: an efficient algorithm for variance parameter estimation in linear mixed models. *Biometrics*, 1440-1450.

See Also

[varCompCI](#) for estimating confidence intervals for the variance components and the proportion of variability (heritability) they explain, [assocTestSingle](#) or [assocTestAggregate](#) for running genetic association tests using the output from `fitNullModel`.

Examples

```
library(GWASTools)

# file path to GDS file
gdsfile <- system.file("extdata", "HapMap_ASW_MXL_geno.gds", package="GENESIS")
# read in GDS data
HapMap_geno <- GdsGenotypeReader(filename = gdsfile)
# create a GenotypeData class object
HapMap_genoData <- GenotypeData(HapMap_geno)
# load saved matrix of KING-robust estimates
data("HapMap_ASW_MXL_KINGmat")

# run PC-AiR
mypcair <- pcair(HapMap_genoData, kinobj = HapMap_ASW_MXL_KINGmat,
                divobj = HapMap_ASW_MXL_KINGmat)

# run PC-Relate
HapMap_genoData <- GenotypeBlockIterator(HapMap_genoData, snpBlock=20000)
mypcrel <- pcrelate(HapMap_genoData, pcs = mypcair$vectors[,1,drop=FALSE],
                  training.set = mypcair$unrels)
close(HapMap_genoData)

# generate a phenotype
set.seed(4)
pheno <- 0.2*mypcair$vectors[,1] + rnorm(mypcair$nsamp, mean = 0, sd = 1)

annot <- data.frame(sample.id = mypcair$sample.id,
                  pc1 = mypcair$vectors[,1], pheno = pheno)

# make covariance matrix
cov.mat <- pcrelateToMatrix(mypcrel, verbose=FALSE)[annot$sample.id, annot$sample.id]

# fit the null mixed model
nullmod <- fitNullModel(annot, outcome = "pheno", covars = "pc1", cov.mat = cov.mat)
```

 GENESIS-defunct

Defunct functions in package **GENESIS**

Description

These functions are defunct and no longer available.

Details

The following functions are defunct; use the replacement indicated below:

- admixMapMM: [admixMap](#)
- assocTestMM: [assocTestSingle](#)
- assocTestSeq: [assocTestAggregate](#)
- assocTestSeqWindow: [assocTestAggregate](#)
- fitNullMM: [fitNullModel](#)
- fitNullReg: [fitNullModel](#)
- king2mat: [kingToMatrix](#)
- pcrelate,GenotypeData-method: [pcrelate,GenotypeIterator-method](#)
- pcrelate,SeqVarData-method: [pcrelate,SeqVarIterator-method](#)
- pcrelateMakeGRM: [pcrelateToMatrix](#)
- pcrelateReadInbreed: [pcrelate](#)
- pcrelateReadKinship: [pcrelate](#)

 HapMap_ASW_MXL_KINGmat

Matrix of Pairwise Kinship Coefficient Estimates for the combined HapMap ASW and MXL Sample found with the KING-robust estimator from the KING software.

Description

KING-robust kinship coefficient estimates for the combined HapMap African Americans in the Southwest U.S. (ASW) and Mexican Americans in Los Angeles (MXL) samples.

Usage

```
data(HapMap_ASW_MXL_KINGmat)
```

Format

The format is: num [1:173, 1:173] 0 0.00157 -0.00417 0.00209 0.00172 ...

Value

A matrix of pairwise kinship coefficient estimates as calculated with KING-robust for the combined HapMap African Americans in the Southwest U.S. (ASW) and Mexican Americans in Los Angeles (MXL) samples.

Source

<http://hapmap.ncbi.nlm.nih.gov/>

References

International HapMap 3 Consortium. (2010). Integrating common and rare genetic variation in diverse human populations. *Nature*, 467(7311), 52-58.

kin2gds	<i>Store kinship matrix in GDS</i>
---------	------------------------------------

Description

kin2gds and mat2gds write kinship matrices to GDS files.

Usage

```
kin2gds(ibdobj, gdsfile)
mat2gds(mat, gdsfile)
```

Arguments

ibdobj	A list with elements <code>sample.id</code> and <code>kinship</code> , such as the output of snpgdsIBDKING .
mat	A matrix with sample identifiers in colnames.
gdsfile	A character string with the name of the GDS file to create.

Details

When using `pcair` or `pcairPartition` with large sample sizes, it can be more memory efficient to read data from GDS files. `kin2gds` and `mat2gds` store kinship matrices in GDS files for use with these functions.

Author(s)

Stephanie M. Gogarten

See Also

[kingToMatrix](#), [snpgdsIBDKING](#)

Examples

```
library(gdsfmt)

# KING results from the command-line program
file.kin0 <- system.file("extdata", "MXL_ASW.kin0", package="GENESIS")
file.kin <- system.file("extdata", "MXL_ASW.kin", package="GENESIS")
KINGmat <- kingToMatrix(c(file.kin0, file.kin))
gdsfile <- tempfile()
mat2gds(KINGmat, gdsfile)
gds <- openfn.gds(gdsfile)
gds
```

```

closefn.gds(gds)

# KING results from SNPRelate
library(SNPRelate)
geno <- snpgdsOpen(snpgdsExampleFileName())
king <- snpgdsIBDKING(geno)
closefn.gds(geno)
kin2gds(king, gdsfile)
gds <- openfn.gds(gdsfile)
gds
closefn.gds(gds)

```

kingToMatrix

*Convert KING text output to an R Matrix***Description**

kingToMatrix is used to extract the pairwise kinship coefficient estimates from the output text files of KING `-ibdseg` or KING `-robust` and put them into an R object of class Matrix. One use of this matrix is that it can be read by the functions [pcair](#) and [pcairPartition](#).

Usage

```

## S4 method for signature 'character'
kingToMatrix(king, sample.include = NULL, thresh = NULL, verbose = TRUE)
## S4 method for signature 'snpgdsIBDClass'
kingToMatrix(king, sample.include = NULL, thresh = NULL, verbose = TRUE)

```

Arguments

king	Output from KING, either a snpgdsIBDClass object from snpgdsIBDKING or a character vector of one or more file names output from the command-line version of KING; see 'Details'.
sample.include	An optional vector of sample.id indicating all samples that should be included in the output matrix; see 'Details' for usage.
thresh	Kinship threshold for clustering samples to make the output matrix sparse block-diagonal. When NULL, no clustering is done. See 'Details'.
verbose	A logical indicating whether or not to print status updates to the console; the default is TRUE.

Details

king can be a vector of multiple file names if your KING output is stored in multiple files; e.g. KING `-robust` run with family IDs returns a .kin and a .kin0 file for pairs within and not within the same family, respectively.

sample.include has two primary functions: 1) It can be used to subset the KING output. 2) sample.include can include sample.id not in king; this ensures that all samples will be in the output matrix when reading KING `-ibdseg` output, which likely does not contain all pairs. When left NULL, the function will determine the list of samples from what is observed in king. It is recommended to use sample.include to ensure all of your samples are included in the output matrix.

thresh sets a threshold for clustering samples such that any pair with an estimated kinship value greater than thresh is in the same cluster. All pairwise estimates within a cluster are kept, even if they are below thresh. All pairwise estimates between clusters are set to 0, creating a sparse, block-diagonal matrix. When thresh is NULL, no clustering is done and all samples are returned in one block. This feature is useful when converting KING `--ibdseg` or KING `--robust` estimates to be used as a kinship matrix, if you have a lower threshold that you consider 'related'. This feature should not be used when converting KING `--robust` estimates to be used as `divobj` in `pcair` or `pcairPartition`, as PC-AiR requires the negative estimates to identify ancestrally divergent pairs.

Value

An object of class 'Matrix' with pairwise kinship coefficients by KING `--ibdseg` or KING `--robust` for each pair of individuals in the sample. The estimates are on both the upper and lower triangle of the matrix, and the diagonal is arbitrarily set to 0.5. `sample.id` are set as the column and row names of the matrix.

Author(s)

Matthew P. Conomos

References

- Conomos M.P., Miller M., & Thornton T. (2015). Robust Inference of Population Structure for Ancestry Prediction and Correction of Stratification in the Presence of Relatedness. *Genetic Epidemiology*, 39(4), 276-293.
- Manichaikul, A., Mychaleckyj, J.C., Rich, S.S., Daly, K., Sale, M., & Chen, W.M. (2010). Robust relationship inference in genome-wide association studies. *Bioinformatics*, 26(22), 2867-2873.

See Also

`pcair` and `pcairPartition` for functions that use the output matrix.

Examples

```
# KING --robust
file.king <- c(system.file("extdata", "MXL_ASW.kin0", package="GENESIS"), system.file("extdata", "MXL_ASW.kin1", package="GENESIS"))
KINGmat <- kingToMatrix(file.king)

# KING --ibdseg
file.king <- system.file("extdata", "HapMap.seg", package="GENESIS")
KINGmat <- kingToMatrix(file.king)

# SNPRelate
library(SNPRelate)
gds <- snpgdsOpen(system.file("extdata", "HapMap_ASW_MXL_geno.gds", package="GENESIS"))
king <- snpgdsIBDKING(gds)
KINGmat <- kingToMatrix(king)
snpgdsClose(gds)
```

makeSparseMatrix	<i>Make a sparse matrix from a dense matrix or a table of pairwise values</i>
------------------	---

Description

makeSparseMatrix is used to create a sparse block-diagonal matrix from a dense matrix or a table of pairwise values, using a user-specified threshold for sparsity. An object of class Matrix will be returned. A sparse matrix may be useful for fitting the association test null model with [fitNullModel](#) when working with very large sample sizes.

Usage

```
## S4 method for signature 'data.table'
makeSparseMatrix(x, thresh = NULL, sample.include = NULL, diag.value = NULL, verbose = TRUE)
## S4 method for signature 'data.frame'
makeSparseMatrix(x, thresh = NULL, sample.include = NULL, diag.value = NULL, verbose = TRUE)
## S4 method for signature 'matrix'
makeSparseMatrix(x, thresh = NULL, sample.include = NULL, diag.value = NULL, verbose = TRUE)
## S4 method for signature 'Matrix'
makeSparseMatrix(x, thresh = NULL, sample.include = NULL, diag.value = NULL, verbose = TRUE)
```

Arguments

x	An object to coerce to a sparse matrix. May be of class matrix, Matrix, data.frame, or data.table. When a matrix or Matrix, row and column names should be set to sample.ids; when a data.frame or data.table, should have 3 columns: ID1, ID2, and value.
thresh	Value threshold for clustering samples to make the output matrix sparse block-diagonal. When NULL, no clustering is done. See 'Details'.
sample.include	An optional vector of sample.id indicating all samples that should be included in the output matrix; see 'Details' for usage.
diag.value	When NULL (by Default), values for the diagonal of the output matrix should be provided in x. Setting diag.value to a numeric value will make all values on the diagonal of the output matrix that value.
verbose	A logical indicating whether or not to print status updates to the console; the default is TRUE.

Details

sample.include has two primary functions: 1) It can be used to subset samples provided in x. 2) sample.include can include sample.id not in x; these additional samples will be included in the output matrix, with a value of 0 for all off-diagonal elements, and the value provided by diag.value for the diagonal elements. When left NULL, the function will determine the list of samples from what is observed in x.

thresh sets a threshold for clustering samples such that any pair with a value greater than thresh is in the same cluster. All values within a cluster are kept, even if they are below thresh. All values between clusters are set to 0, creating a sparse, block-diagonal matrix. When thresh is NULL, no clustering is done and all samples are returned in one block. This feature is useful when converting a data.frame of kinship estimates to a matrix.

Value

An object of class 'Matrix'. Samples may be in a different order than in the input x, as samples are sorted by ID or rowname within each block (including within the block of unrelateds).

Author(s)

Matthew P. Conomos

See Also

[kingToMatrix](#) and [pcrelateToMatrix](#) for functions that use this function.

pcair

PC-AiR: Principal Components Analysis in Related Samples

Description

pcair is used to perform a Principal Components Analysis using genome-wide SNP data for the detection of population structure in a sample. Unlike a standard PCA, PC-AiR accounts for sample relatedness (known or cryptic) to provide accurate ancestry inference that is not confounded by family structure.

Usage

```
## S4 method for signature 'gds.class'
pcair(gdsobj, kinobj = NULL, divobj = NULL,
      kin.thresh = 2^(-11/2), div.thresh = -2^(-11/2),
      unrel.set = NULL,
      sample.include = NULL, snp.include = NULL,
      num.cores = 1L, verbose = TRUE, ...)

## S4 method for signature 'SNPGDSFileClass'
pcair(gdsobj, ...)

## S4 method for signature 'GdsGenotypeReader'
pcair(gdsobj, ...)

## S4 method for signature 'GenotypeData'
pcair(gdsobj, ...)

## S4 method for signature 'SeqVarGDSClass'
pcair(gdsobj, ...)
```

Arguments

gdsobj An object providing a connection to a GDS file.

kinobj A symmetric matrix of pairwise kinship coefficients for every pair of individuals in the sample: upper and lower triangles must both be filled; diagonals should be self-kinship or set to a non-missing constant value. This matrix is used for partitioning the sample into the 'unrelated' and 'related' subsets. See 'Details' for how this interacts with `kin.thresh` and `unrel.set`. IDs for each individual must be set as the column names of the matrix. This matrix may also be provided as a GDS object; see 'Details'.

<code>divobj</code>	A symmetric matrix of pairwise ancestry divergence measures for every pair of individuals in the sample: upper and lower triangles must both be filled; diagonals should be set to a non-missing constant value. This matrix is used for partitioning the sample into the 'unrelated' and 'related' subsets. See 'Details' for how this interacts with <code>div.thresh</code> . IDs for each individual must be set as the column names of the matrix. This matrix may be identical to <code>kinobj</code> . This matrix may also be provided as a GDS object; see 'Details'.
<code>kin.thresh</code>	Threshold value on <code>kinobj</code> used for declaring each pair of individuals as related or unrelated. The default value is $2^{(-11/2)} \sim 0.022$, corresponding to 4th degree relatives. See 'Details' for how this interacts with <code>kinobj</code> .
<code>div.thresh</code>	Threshold value on <code>divobj</code> used for deciding if each pair of individuals is ancestrally divergent. The default value is $-2^{(-11/2)} \sim -0.022$. See 'Details' for how this interacts with <code>divobj</code> .
<code>unrel.set</code>	An optional vector of IDs for identifying individuals that are forced into the unrelated subset. See 'Details' for how this interacts with <code>kinobj</code> .
<code>sample.include</code>	An optional vector of IDs for selecting samples to consider for either set.
<code>snp.include</code>	An optional vector of snp or variant IDs to use in the analysis.
<code>num.cores</code>	The number of cores to use.
<code>verbose</code>	Logical indicator of whether updates from the function should be printed to the console; the default is TRUE.
<code>...</code>	Additional arguments to pass to <code>snpGDS::snpGDS::PCA</code> , such as <code>eigen.cnt</code> to control the number of PCs returned.

Details

The basic premise of PC-AiR is to partition the entire sample of individuals into an ancestry representative 'unrelated subset' and a 'related set', perform standard PCA on the 'unrelated subset', and predict PC values for the 'related subset'.

We recommend using software that accounts for population structure to estimate pairwise kinship coefficients to be used in `kinobj`. Any pair of individuals with a pairwise kinship greater than `kin.thresh` will be declared 'related.' Kinship coefficient estimates from the KING-robust software are typically used as measures of ancestry divergence in `divobj`. Any pair of individuals with a pairwise divergence measure less than `div.thresh` will be declared ancestrally 'divergent'. Typically, `kin.thresh` and `div.thresh` are set to be the amount of error around 0 expected in the estimate for a pair of truly unrelated individuals.

There are multiple ways to partition the sample into an ancestry representative 'unrelated subset' and a 'related subset'. In all of the scenarios described below, the set of all samples is limited to those in `sample.include` when it is specified (i.e. not NULL):

If `kinobj` is specified, `divobj` is specified, and `unrel.set = NULL`, then the PC-AiR algorithm is used to find an 'optimal' partition of all samples (see 'References' for a paper describing the PC-AiR algorithm).

If `kinobj` is specified, `divobj` is specified, and `unrel.set` is specified, then all individuals with IDs in `unrel.set` are forced in the 'unrelated subset' and the PC-AiR algorithm is used to partition the rest of the sample; this is especially useful for including reference samples of known ancestry in the 'unrelated subset'.

If `kinobj = NULL`, `divobj = NULL`, and `unrel.set` is specified, then all individuals with IDs in `unrel.set` are put in the 'unrelated subset' and the rest of the individuals are put in the 'related subset'.

If `kinobj = NULL`, `divobj = NULL`, and `unrel.set = NULL`, then the function will perform a "standard" PCA analysis.

NOTE: `kinobj` and `divobj` may be identical, but both must be specified when using the PC-AiR algorithm to partition samples.

Value

An object of class 'pcair'. A list including:

<code>vectors</code>	A matrix of principal components; each column is a principal component. Sample IDs are provided as rownames. The number of PCs returned can be adjusted by supplying the <code>eigen.cnt</code> argument, which is passed to <code>snpGDSPCA</code> .
<code>values</code>	A vector of eigenvalues matching the principal components. These values are determined from the standard PCA run on the 'unrelated subset'.
<code>rels</code>	A vector of IDs for individuals in the 'related subset'.
<code>unrels</code>	A vector of IDs for individuals in the 'unrelated subset'.
<code>kin.thresh</code>	The threshold value used for declaring each pair of individuals as related or unrelated.
<code>div.thresh</code>	The threshold value used for determining if each pair of individuals is ancestrally divergent.
<code>sample.id</code>	A vector of IDs for the samples used in the analysis.
<code>nsamp</code>	The total number of samples in the analysis.
<code>nsnps</code>	The total number of SNPs used in the analysis.
<code>varprop</code>	The variance proportion for each principal component.
<code>call</code>	The function call passed to <code>pcair</code> .
<code>method</code>	A character string. Either "PC-AiR" or "Standard PCA" identifying which method was used for computing principal components. "Standard PCA" is used if no relatives were identified in the sample.

Author(s)

Matthew P. Conomos

References

Conomos M.P., Miller M., & Thornton T. (2015). Robust Inference of Population Structure for Ancestry Prediction and Correction of Stratification in the Presence of Relatedness. *Genetic Epidemiology*, 39(4), 276-293.

Manichaikul, A., Mychaleckyj, J.C., Rich, S.S., Daly, K., Sale, M., & Chen, W.M. (2010). Robust relationship inference in genome-wide association studies. *Bioinformatics*, 26(22), 2867-2873.

See Also

[pcairPartition](#) for a description of the function used by `pcair` that can be used to partition the sample into 'unrelated' and 'related' subsets without performing PCA. [plot.pcair](#) for plotting. [kingToMatrix](#) for creating a matrix of pairwise kinship coefficient estimates from KING output text files that can be used for `kinobj` or `divobj`. [GWASTools](#) for a description of the package containing the following functions: [GenotypeData](#) for a description of creating a `GenotypeData` class object for storing sample and SNP genotype data, [MatrixGenotypeReader](#) for a description of reading in genotype data stored as a matrix, and [GdsGenotypeReader](#) for a description of reading in genotype data stored as a GDS file. Also see [snpGDSBED2GDS](#) in the `SNPRelate` package for a description of converting binary PLINK files to GDS. The generic functions [summary](#) and [print](#).

Examples

```
# file path to GDS file
gdsfile <- system.file("extdata", "HapMap_ASW_MXL_geno.gds", package="GENESIS")
# read in GDS data
HapMap_geno <- gdsfmt::openfn.gds(gdsfile)
# load saved matrix of KING-robust estimates
data("HapMap_ASW_MXL_KINGmat")
# run PC-AiR
mypcair <- pcair(HapMap_geno, kinobj = HapMap_ASW_MXL_KINGmat,
                 divobj = HapMap_ASW_MXL_KINGmat)
gdsfmt::closefn.gds(HapMap_geno)
```

pcairPartition	<i>Partition a sample into an ancestry representative 'unrelated subset' and a 'related subset'</i>
----------------	---

Description

pcairPartition is used to partition a sample from a genetic study into an ancestry representative 'unrelated subset' and a 'related subset'. The 'unrelated subset' contains individuals who are all mutually unrelated to each other and representative of the ancestries of all individuals in the sample, and the 'related subset' contains individuals who are related to someone in the 'unrelated subset'.

Usage

```
pcairPartition(kinobj, divobj,
               kin.thresh = 2^(-11/2), div.thresh = -2^(-11/2),
               unrel.set = NULL, sample.include = NULL, verbose = TRUE)
```

Arguments

kinobj	A symmetric matrix of pairwise kinship coefficients for every pair of individuals in the sample: upper and lower triangles must both be filled; diagonals should be self-kinship or set to a non-missing constant value. This matrix is used for partitioning the sample into the 'unrelated' and 'related' subsets. See 'Details' for how this interacts with kin.thresh and unrel.set. IDs for each individual must be set as the column names of the matrix. This matrix may also be provided as a GDS object; see 'Details'.
divobj	A symmetric matrix of pairwise ancestry divergence measures for every pair of individuals in the sample: upper and lower triangles must both be filled; diagonals should be set to a non-missing constant value. This matrix is used for partitioning the sample into the 'unrelated' and 'related' subsets. See 'Details' for how this interacts with div.thresh. IDs for each individual must be set as the column names of the matrix. This matrix may be identical to kinobj. This matrix may also be provided as a GDS object; see 'Details'.
kin.thresh	Threshold value on kinobj used for declaring each pair of individuals as related or unrelated. The default value is $2^{(-11/2)} \sim 0.022$, corresponding to 4th degree relatives. See 'Details' for how this interacts with kinobj.
div.thresh	Threshold value on divobj used for deciding if each pair of individuals is ancestrally divergent. The default value is $-2^{(-11/2)} \sim -0.022$. See 'Details' for how this interacts with divobj.

unrel.set	An optional vector of IDs for identifying individuals that are forced into the unrelated subset. See 'Details' for how this interacts with kinobj.
sample.include	An optional vector of IDs for selecting samples to consider for either set.
verbose	Logical indicator of whether updates from the function should be printed to the console; the default is TRUE.

Details

We recommend using software that accounts for population structure to estimate pairwise kinship coefficients to be used in kinobj. Any pair of individuals with a pairwise kinship greater than kin.thresh will be declared 'related.' Kinship coefficient estimates from the KING-robust software are typically used as measures of ancestry divergence in divobj. Any pair of individuals with a pairwise divergence measure less than div.thresh will be declared ancestrally 'divergent'. Typically, kin.thresh and div.thresh are set to be the amount of error around 0 expected in the estimate for a pair of truly unrelated individuals. If unrel.set = NULL, the PC-AiR algorithm is used to find an 'optimal' partition (see 'References' for a paper describing the algorithm). If unrel.set and kinobj are both specified, then all individuals with IDs in unrel.set are forced in the 'unrelated subset' and the PC-AiR algorithm is used to partition the rest of the sample; this is especially useful for including reference samples of known ancestry in the 'unrelated subset'.

For large sample sizes, storing both kinobj and divobj in memory may be prohibitive. Both matrices may be stored in GDS files and provided as gds.class objects. pcrelate has an option to save results in GDS format, and we provide utility functions to save KING output in this format as well.

Matrix objects from the [Matrix](#) package are also supported.

Value

A list including:

rels	A vector of IDs for individuals in the 'related subset'.
unrels	A vector of IDs for individuals in the 'unrelated subset'.

Note

pcairPartition is called internally in the function pcair but may also be used on its own to partition the sample into an ancestry representative 'unrelated' subset and a 'related' subset without performing PCA.

Author(s)

Matthew P. Conomos

References

Conomos M.P., Miller M., & Thornton T. (2015). Robust Inference of Population Structure for Ancestry Prediction and Correction of Stratification in the Presence of Relatedness. *Genetic Epidemiology*, 39(4), 276-293.

Manichaikul, A., Mychaleckyj, J.C., Rich, S.S., Daly, K., Sale, M., & Chen, W.M. (2010). Robust relationship inference in genome-wide association studies. *Bioinformatics*, 26(22), 2867-2873.

See Also

[pcair](#) which uses this function for finding principal components in the presence of related individuals. [kingToMatrix](#) for creating a matrix of kinship coefficient estimates or pairwise ancestry divergence measures from KING output text files that can be used as kinobj or divobj. [kin2gds](#) and [mat2gds](#) for saving kinship matrices to GDS.

Examples

```
# load saved matrix of KING-robust estimates
data("HapMap_ASW_MXL_KINGmat")
# partition the sample
part <- pcairPartition(kinobj = HapMap_ASW_MXL_KINGmat,
                      divobj = HapMap_ASW_MXL_KINGmat)
```

pcrelate

*PC-Relate: Model-Free Estimation of Recent Genetic Relatedness***Description**

pcrelate is used to estimate kinship coefficients, IBD sharing probabilities, and inbreeding coefficients using genome-wide SNP data. PC-Relate accounts for population structure (ancestry) among sample individuals through the use of ancestry representative principal components (PCs) to provide accurate relatedness estimates due only to recent family (pedigree) structure.

Usage

```
## S4 method for signature 'GenotypeIterator'
pcrelate(gdsobj,
         pcs,
         scale = c('overall', 'variant', 'none'),
         ibd.probs = TRUE,
         sample.include = NULL,
         training.set = NULL,
         sample.block.size = 5000,
         maf.thresh = 0.01,
         maf.bound.method = c('filter', 'truncate'),
         small.samp.correct = FALSE,
         verbose = TRUE)
## S4 method for signature 'SeqVarIterator'
pcrelate(gdsobj,
         pcs,
         scale = c('overall', 'variant', 'none'),
         ibd.probs = TRUE,
         sample.include = NULL,
         training.set = NULL,
         sample.block.size = 5000,
         maf.thresh = 0.01,
         maf.bound.method = c('filter', 'truncate'),
         small.samp.correct = FALSE,
         verbose = TRUE)
```

Arguments

<code>gdsobj</code>	An object of class <code>SeqVarIterator</code> from the package <code>SeqVarTools</code> , or an object of class <code>GenotypeIterator</code> from the package <code>GWASTools</code> , containing the genotype data for the variants and samples to be used for the analysis.
<code>pcs</code>	A matrix of principal components (PCs) to be used for ancestry adjustment. Each column represents a PC, and each row represents an individual. IDs for each individual must be set as the row names of the matrix.
<code>scale</code>	A character string taking the values 'overall', 'variant', or 'none' indicating how genotype values should be standardized. This should be set to 'overall' (the default) in order to do a PC-Relate analysis; see 'Details' for more information.
<code>ibd.probs</code>	Logical indicator of whether pairwise IBD sharing probabilities (k_0 , k_1 , k_2) should be estimated; the default is TRUE.
<code>sample.include</code>	A vector of IDs for samples to include in the analysis. If NULL, all samples in <code>gdsobj</code> are included.
<code>training.set</code>	An optional vector of IDs identifying which samples to use for estimation of the ancestry effect when estimating individual-specific allele frequencies. If NULL, all samples in <code>sample.include</code> are used. See 'Details' for more information.
<code>sample.block.size</code>	The number of individuals to read-in/analyze at once; the default value is 5000. See 'Details' for more information.
<code>maf.thresh</code>	Minor allele frequency threshold; if an individual's estimated individual-specific minor allele frequency at a SNP is less than this value, that individual will either have that SNP excluded from the analysis or have their estimated individual-specific minor allele frequency truncated to this value, depending on <code>maf.bound.method</code> . The default value is 0.01.
<code>maf.bound.method</code>	How individual-specific minor allele frequency estimates less than <code>maf.thresh</code> are handled. When set to 'filter' (default), SNPs for which an individual's estimated individual-specific minor allele frequency are below <code>maf.thresh</code> are excluded from the analysis for that individual. When set to 'truncate', estimated individual-specific minor allele frequencies below <code>maf.thresh</code> have their value set to <code>maf.thresh</code> .
<code>small.samp.correct</code>	Logical indicator of whether to implement a small sample correction.
<code>verbose</code>	Logical indicator of whether updates from the function should be printed to the console; the default is TRUE.

Details

The basic premise of PC-Relate is to estimate kinship coefficients, IBD sharing probabilities, and inbreeding coefficients that reflect recent family (pedigree) relatedness by conditioning out genetic similarity due to distant population structure (ancestry) with ancestry representative principal components (PCs).

It is important that the PCs used in `pcs` to adjust for ancestry are representative of ancestry and NOT family structure, so we recommend using PCs calculated with PC-AiR (see: [pcair](#)).

In order to perform relatedness estimation, allele frequency estimates are required for centering and scaling genotype values. Individual-specific allele frequencies calculated for each individual at each SNP using the PCs specified in `pcs` are used. There are multiple choices for how genotype values are scaled. When `scale` is 'variant', centered genotype values at each SNP are divided by their

expected variance under Hardy-Weinberg equilibrium. When `scale` is 'overall', centered genotype values at all SNPs are divided by the average across all SNPs of their expected variances under Hardy-Weinberg equilibrium; this scaling leads to more stable behavior when using low frequency variants. When `scale` is 'none', genotype values are only centered and not scaled; this won't provide accurate kinship coefficient estimates but may be useful for other purposes. Set `scale` to 'overall' to perform a standard PC-Relate analysis; this is the default. If `scale` is set to 'variant', the estimators are very similar to REAP.

The optional input `training.set` allows the user to specify which samples are used to estimate the ancestry effect when estimating individual-specific allele frequencies. Ideally, `training.set` is a set of mutually unrelated individuals. If prior information regarding pedigree structure is available, this can be used to select `training.set`, or if `pcair` was used to obtain the PCs, then the individuals in the PC-AiR 'unrelated subset' can be used. If no prior information is available, all individuals should be used.

The `sample.block.size` can be specified to alleviate memory issues when working with very large data sets. If `sample.block.size` is smaller than the number of individuals included in the analysis, then individuals will be analyzed in separate blocks. This reduces the memory required for the analysis, but genotype data must be read in multiple times for each block (to analyze all pairs), which increases the number of computations required.

Value

An object of class 'pcrelate'. A list including:

<code>kinBtw</code>	A data.frame of estimated pairwise kinship coefficients and IBD sharing probabilities (if <code>ibd.probs</code> is TRUE).
<code>kinSelf</code>	A data.frame of estimated inbreeding coefficients.

Author(s)

Matthew P. Conomos

References

Conomos M.P., Reiner A.P., Weir B.S., & Thornton T.A. (2016). Model-free Estimation of Recent Genetic Relatedness. *American Journal of Human Genetics*, 98(1), 127-148.

See Also

[pcrelateToMatrix](#)

Examples

```
library(GWASTools)

# file path to GDS file
gdsfile <- system.file("extdata", "HapMap_ASW_MXL_geno.gds", package="GENESIS")
# read in GDS data
HapMap_geno <- GdsGenotypeReader(filename = gdsfile)
# create a GenotypeData class object
HapMap_genoData <- GenotypeData(HapMap_geno)
# load saved matrix of KING-robust estimates
data("HapMap_ASW_MXL_KINGmat")
# run PC-AiR
mypcair <- pcair(HapMap_genoData, kinobj = HapMap_ASW_MXL_KINGmat,
```

```

divobj = HapMap_ASW_MXL_KINGmat)

# create a GenotypeBlockIterator object
HapMap_genodata <- GenotypeBlockIterator(HapMap_genodata)
# run PC-Relate
mypcrel <- pcrelate(HapMap_genodata, pcs = mypcair$vectors[,1,drop=FALSE],
training.set = mypcair$unrels)
head(mypcrel$kinBwn)
head(mypcrel$kinSelf)

grm <- pcrelateToMatrix(mypcrel)
dim(grm)

close(HapMap_genodata)

```

pcrelateToMatrix	<i>Creates a Genetic Relationship Matrix (GRM) of Pairwise Kinship Coefficient Estimates from PC-Relate Output</i>
------------------	--

Description

pcrelateToMatrix is used to create a genetic relationship matrix (GRM) of pairwise kinship coefficient estimates from the output of pcrelate.

Usage

```

## S4 method for signature 'pcrelate'
pcrelateToMatrix(pcrelobj, sample.include = NULL, thresh = NULL, scaleKin = 2, verbose = TRUE)

```

Arguments

pcrelobj	The object containing the output from pcrelate. This should be a list of class pcrelate containing two data.frames; kinSelf with inbreeding coefficient estimates and kinBwn with pairwise kinship coefficient estimates.
sample.include	A vector of IDs for samples to be included in the GRM. The default is NULL, which includes all samples in pcrelobj.
thresh	Kinship threshold for clustering samples to make the output matrix sparse block-diagonal. This thresholding is done after scaling kinship values by scaleKin. When NULL, no clustering is done. See 'Details'.
scaleKin	Specifies a numeric constant to scale each estimated kinship coefficient by in the GRM. The default value is 2.
verbose	Logical indicator of whether updates from the function should be printed to the console; the default is TRUE.

Details

This function provides a quick and easy way to construct a genetic relationship matrix (GRM) from the output of pcrelate.

thresh sets a threshold for clustering samples such that any pair with an estimated kinship value greater than thresh is in the same cluster. All pairwise estimates within a cluster are kept, even if they are below thresh. All pairwise estimates between clusters are set to 0, creating a sparse,

block-diagonal matrix. When thresh is NULL, no clustering is done and all samples are returned in one block. This feature may be useful for creating a sparse GRM when running association tests with very large sample sizes. Note that thresholding is done after scaling kinship values by scaleKin.

Value

An object of class 'Matrix' with pairwise kinship coefficients.

Author(s)

Matthew P. Conomos

References

Conomos M.P., Reiner A.P., Weir B.S., & Thornton T.A. (2016). Model-free Estimation of Recent Genetic Relatedness. *American Journal of Human Genetics*, 98(1), 127-148.

See Also

[pcrelate](#) for the function that performs PC-Relate.

plot.pcair

PC-AiR: Plotting PCs

Description

plot.pcair is used to plot pairs of principal components contained in a class 'pcair' object obtained as output from the pcair function.

Usage

```
## S3 method for class 'pcair'
plot(x, vx = 1, vy = 2, pch = NULL, col = NULL,
      xlim = NULL, ylim = NULL, main = NULL, sub = NULL,
      xlab = NULL, ylab = NULL, ...)
```

Arguments

x	An object of class 'pcair' obtained as output from the pcair function.
vx	An integer indicating which principal component to plot on the x-axis; the default is 1.
vy	An integer indicating which principal component to plot on the y-axis; the default is 2.
pch	Either an integer specifying a symbol or a single character to be used in plotting points. If NULL, the default is dots for the 'unrelated subset' and + for the 'related subset'.
col	A specification for the plotting color for points. If NULL, the default is black for the 'unrelated subset' and blue for the 'related subset'.
xlim	The range of values shown on the x-axis. If NULL, the default shows all points.

ylim	The range of values shown on the y-axis. If NULL, the default shows all points.
main	An overall title for the plot. If NULL, the default specifies which PC-AiR PCs are plotted.
sub	A sub title for the plot. If NULL, the default is none.
xlab	A title for the x-axis. If NULL, the default specifies which PC-AiR PC is plotted.
ylab	A title for the y-axis. If NULL, the default specifies which PC-AiR PC is plotted.
...	Other parameters to be passed through to plotting functions, (see par).

Details

This function provides a quick and easy way to plot principal components obtained with the function `pcair` to visualize the population structure captured by PC-AiR.

Value

A figure showing the selected principal components plotted against each other.

Author(s)

Matthew P. Conomos

See Also

[pcair](#) for obtaining principal components that capture population structure in the presence of relatedness. [par](#) for more in depth descriptions of plotting parameters. The generic function [plot](#).

Examples

```
# file path to GDS file
gdsfile <- system.file("extdata", "HapMap_ASW_MXL_geno.gds", package="GENESIS")
# read in GDS data
HapMap_geno <- gdsfmt::openfn.gds(gdsfile)
# load saved matrix of KING-robust estimates
data("HapMap_ASW_MXL_KINGmat")
# run PC-AiR
mypcair <- pcair(HapMap_geno, kinobj = HapMap_ASW_MXL_KINGmat,
                 divobj = HapMap_ASW_MXL_KINGmat)
# plot top 2 PCs
plot(mypcair)
# plot PCs 3 and 4
plot(mypcair, vx = 3, vy = 4)
gdsfmt::closefn.gds(HapMap_geno)
```

print.pcair

PC-AiR: Principal Components Analysis in Related Samples

Description

Print methods for `pcair`

Usage

```
## S3 method for class 'pcair'
print(x, ...)
## S3 method for class 'pcair'
summary(object, ...)
## S3 method for class 'summary.pcair'
print(x, ...)
```

Arguments

object	An object of class 'pcair', i.e. output from the pcair function.
x	An object of class 'pcair', i.e. output from the pcair function.
...	Further arguments passed to or from other methods.

Author(s)

Matthew P. Conomos

See Also

[pcair](#) for obtaining principal components that capture population structure in the presence of relatedness.

Examples

```
# file path to GDS file
gdsfile <- system.file("extdata", "HapMap_ASW_MXL_geno.gds", package="GENESIS")
# read in GDS data
HapMap_geno <- gdsfmt::openfn.gds(gdsfile)
# load saved matrix of KING-robust estimates
data("HapMap_ASW_MXL_KINGmat")
# run PC-AiR
mypcair <- pcair(HapMap_geno, kinobj = HapMap_ASW_MXL_KINGmat,
                 divobj = HapMap_ASW_MXL_KINGmat)
print(mypcair)
summary(mypcair)
gdsfmt::closefn.gds(HapMap_geno)
```

sample_annotation_1KG *Annotation for 1000 genomes Phase 3 samples*

Description

Annotation for 1000 genomes Phase 3 samples included in the VCF files in "extdata/1KG".

Usage

```
data(sample_annotation_1KG)
```

Format

A data.frame with columns:

- sample.idSample identifier
- PopulationPopulation of sample
- sexSex of sample

Source

<ftp://ftp-trace.ncbi.nih.gov/1000genomes/ftp>

References

A global reference for human genetic variation, The 1000 Genomes Project Consortium, Nature 526, 68-74 (01 October 2015) doi:10.1038/nature15393.

varCompCI

Variance Component Confidence Intervals

Description

varCompCI provides confidence intervals for the variance component estimates found using [fitNullModel](#). The confidence intervals can be found on either the original scale or for the proportion of total variability explained.

Usage

```
varCompCI(nullMObj, prop = TRUE)
```

Arguments

nullMObj	A null model object returned by fitNullModel .
prop	A logical indicator of whether the point estimates and confidence intervals should be returned as the proportion of total variability explained (TRUE) or on the original scale (FALSE).

Details

varCompCI takes the object returned by [fitNullModel](#) as its input and returns point estimates and confidence intervals for each of the random effects variance component estimates. If a kinship matrix or genetic relationship matrix (GRM) was included as a random effect in the model fit using [fitNullModel](#), then this function can be used to provide a heritability estimate when prop is TRUE.

Value

varCompCI prints a table of point estimates and 95% confidence interval limits for each estimated variance component.

Author(s)

Matthew P. Conomos

See Also

[fitNullModel](#) for fitting the mixed model and performing the variance component estimation.

Examples

```
library(GWASTools)

# file path to GDS file
gdsfile <- system.file("extdata", "HapMap_ASW_MXL_geno.gds", package="GENESIS")
# read in GDS data
HapMap_geno <- GdsGenotypeReader(filename = gdsfile)
# create a GenotypeData class object
HapMap_genoData <- GenotypeData(HapMap_geno)
# load saved matrix of KING-robust estimates
data("HapMap_ASW_MXL_KINGmat")

# run PC-AiR
mypcair <- pcair(HapMap_genoData, kinobj = HapMap_ASW_MXL_KINGmat,
                divobj = HapMap_ASW_MXL_KINGmat)

# run PC-Relate
HapMap_genoData <- GenotypeBlockIterator(HapMap_genoData, snpBlock=20000)
mypcrel <- pcrelate(HapMap_genoData, pcs = mypcair$vectors[,1,drop=FALSE],
                   training.set = mypcair$unrels)
close(HapMap_genoData)

# generate a phenotype
set.seed(4)
pheno <- 0.2*mypcair$vectors[,1] + rnorm(mypcair$nsamp, mean = 0, sd = 1)

annot <- data.frame(sample.id = mypcair$sample.id,
                   pc1 = mypcair$vectors[,1], pheno = pheno)

# make covariance matrix
cov.mat <- pcrelateToMatrix(mypcrel, verbose=FALSE)[annot$sample.id, annot$sample.id]

# fit the null mixed model
nullmod <- fitNullModel(annot, outcome = "pheno", covars = "pc1", cov.mat = cov.mat)

# find the variance component CIs
varCompCI(nullmod, prop = TRUE)
varCompCI(nullmod, prop = FALSE)
```

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